

STATEMENT AND DECLARATION REQUIRED OF INSURANCE AGENTS

(Under Rule 36 of Insurance Rules, 2017)

In relation to my registration as insurance agent with "SPI Insurance Company Limited" for

General

Window Takaful Operator

[Please tick the relevant]

Name _____

Date of Birth

Residential Address _____

Postal Address _____

The names of persons employed by the insurance agent for the purpose of carrying out the business of an insurance agent:

1- _____, 2- _____, 3- _____

[Please add for more persons if required]

Date of Appointment

Declaration

I _____

Full Legal Name of Agent

do hereby make the following Declaration in support of my request for my registration and state that the information in this Declaration is true, accurate and complete.

I declare that:

- (a) the information given by me in accordance with sub-rule (1) of Rule 36 is complete and correct;
- (b) I have complied with the requirements of the Ordinance and the rules made thereunder concerning the required qualifications of an agent;
- (c) I am (in the case of an existing agent) engaged or (in the case of an agent seeking appointment) proposes to engage *bona fide* in obtaining insurance policies for the insurer to whom the declaration is made;
- (d) I undertake to comply, and (in the case of an existing agent) declares that I have during the previous twelve months complied with the relevant provisions of the Ordinance and the rules made thereunder concerning the conduct of an agent; and

(e) I, engaging in the business of insurance agency, am not disqualified from acting as an insurance agent by virtue of:-

(i) being a minor;

(ii) having been found of unsound mind by a Court of competent jurisdiction;

(iii) having been found guilty, within the five years preceding the date of the declaration, of criminal misappropriation or criminal breach of trust, cheating or forgery or an abetment of or attempt to commit any such offence by a Court of competent jurisdiction;

(iv) having served any custodial sentence imposed by a Court of competent jurisdiction, ending within the five years preceding the date of the declaration;

(v) having been found guilty by a Court of competent jurisdiction of any offence involving insurance; or

(vi) having been otherwise declared as disqualified by the Insurance Tribunal, other than for a term which had expired prior to the date of the declaration.

(f) Shall notify to the insurer of any change in the details required under sub-rule (1) of Rule 36 within three months of that change having effect, such notification to be in writing and signed.

(g) If I engaging in the business of insurance agency becomes disqualified for any reason from acting as an insurance agent, the agent shall within seven days notify the insurer of the disqualification and shall forthwith cease, or cause the disqualified person to cease, engaging in the business of insurance agency.

I also understand that providing false, misleading or incomplete information on this Declaration and any attachment is an offence under the Insurance Rules, 2017 and may result in prosecution or regulatory sanctions.

Agent's Signature _____

Date:

DD

MM

YYYY

CNIC # _____

Witness's Signature _____

Date:

DD

MM

YYYY

Witness's Name _____

CNIC # _____